



Docket: 6971.02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

Leonard S. Schultz

Application No.:

10/628,843

Filing Date:

July 28, 2003

Examiner:

Not Yet Assigned

J

DEVICE AND METHOD FOR BODY

Group Art Unit:

3738

Title: LUMEN OCCLUSION

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(D)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this day of <u>April</u>, 2005.

(Signature)

Dear Sir:

Pursuant to 37 CFR § 1.97(d), the references listed on the attached Form PTO-1449 (1 sheet, submitted in duplicate) are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. The identified references were cited by the International Search Authority in a corresponding European application. A copy of the Search Report is enclosed.

Pursuant to the U.S. Patent and Trademark Office's July 11, 2003 waiver of the requirements of 37 C.F.R. § 1.98(a)(2)(i), copies of the U.S. patent references are not provided.

The Commissioner if hereby authorized to charge any deficiencies and/or credit any overpayments to Deposit Account No. 01-1420.

Respectfully submitted,

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Date: 122, 2005

By:

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PTO/SB/08A (10/01) (modified) U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PAC **Application Number** 10/628,843 INFORMATION DISCLOSURE Filing Date July 28, 2003 First Named Inventor Leonard S. Schultz STATEMENT BY APPLICANT **Art Unit** 3738 (use as many sheets as necessary) **Examiner Name** Not Yet Assigned 1 1 **Sheet** of **Attorney Docket Number** 6971.02 **U.S. PATENT DOCUMENTS** Pages, Columns, Lines, DOCUMENT NUMBER Publication Date Name of Patentee or Where Relevant Passages or No. Initials Number - Kind Code (if known) MM-DD-YYYY Applicant of Cited Document Relevant Figures Appear 5,336,263 08-09-1994 Ersek et al. US-5,980,559 11-09-1999 Bonutti US-5,984,967 11-16-1999 Zdeblick et al. US-US-US-US-US-US-US-US-US-US-**FOREIGN PATENT DOCUMENTS** FOREIGN PATENT DOCUMENT Publication TRANSLATION Number - Kind Code (if Pages, Columns, Lines, Country Cite Date \*Examine: Name of Patentee or Where Relevant Passages Code: known) MM-DD-YYYY Applicant of Cited Document or Relevant Figures Appear YES NO **EXAMINER SIGNATURE** DATE CONSIDERED \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.